

ROBERT E. BUSHNELL\*†

JOSEPH G. SEEGER\*  
MATTHEW J. LESTINA\*  
SARYADVINDER S. SAHOTA†\*  
JONG H. PARK†  
FRANK J. DYNDAL\*

OF COUNSEL  
RICHARD H. STERN\*  
HENRY M. ZYKORIE

MICHAEL D. PARKER  
(REG. PATENT AGENT)

† ADMITTED IN MARYLAND  
\* ADMITTED IN VIRGINIA  
† ADMITTED IN NEW YORK  
\* ADMITTED IN CONNECTICUT  
\* ADMITTED IN NEW JERSEY  
\* NOT ADMITTED IN D.C.

**R. E. BUSHNELL**  
ATTORNEY AT LAW

1522 K STREET, N.W., SUITE 800  
WASHINGTON, D.C. 20005-1202  
UNITED STATES OF AMERICA

RECEIVED  
CENTRAL FAX CENTER 9 April 2004

APR 09 2004  
**OFFICIAL**

## INTELLECTUAL PROPERTY LAW

TELEPHONE (202) 408-9040  
FACSIMILE (202) 289-7100  
FACSIMILE (202) 628-3835  
FACSIMILE (410) 747-0023

E-MAIL: REBUSHNELL@AOL.COM

- ☐ U.S. Postal Service  
☐ Via Local Courier  
☐ Via International Courier  
☐ Via Facsimile No. \_\_\_\_\_  
☐ Via E-Mail Attachment  
☐ Please Acknowledge Receipt

**Attn: Mr. Anthony T. PERRY**  
**(Art Unit 2879)**

Commissioner for Patents  
U.S. Patent & Trademark Office  
Alexandria, VA 22313-1450

**Facsimile No. (703) 872-9306**

Re: U.S. Patent Application For  
*CATHODE FOR ELECTRON TUBE AND  
METHOD OF PREPARING THE SAME*  
Serial No.: 09/925,037  
*HWAN-CHUL RHO et al.*  
Our Ref.: P56539

**Total 2 pages including this page**

Sir

Accompanying this facsimile transmission is Applicant Initiated Interview Request Form,  
for the above-referenced application.

Kindly confirm receipt of all pages.

With best regards.

Respectfully submitted,

  
Robert E. Bushnell

REB/SS/sb

Enclosure: Applicant Initiated Interview Request Form

713.01

## MANUAL OF PATENT EXAMINING PROCEDURE

PTOL-412A (12-02)  
Approved for use through 10/20/2004. OMB 0851-0051  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| Applicant Initiated Interview Request Form   |                    |  |   |   |                          |
|--|--------------------|--|---|---|--------------------------|
| Application No.: <u>091925.037</u> First Named Applicant: <u>HWAN-CHUL RHO</u>   |                    |  |   |   |                          |
| Examiner: <u>A. PERRY</u>  |                    | Art Unit: <u>2879</u>                            |   | Status of Application: <u>after Final</u>     |                          |
| Tentative Participants:  |                    |  |   |   |                          |
| (1) <u>EXAMINER A. PERRY</u>   |                    | (2) <u>SUPERVISOR N. PATEL</u>                   |   |   |                          |
| (3) <u>R.E. BUSHNELL</u>   |                    | (4) <u>S. SAHOTA</u>                             |   |   |                          |
| Proposed Date of Interview: <u>4-13-04</u>   |                    |  | Proposed Time: <u>3</u> (AM/PM) <u>(PM)</u> |   |                          |
| Type of Interview Requested:   |                    |  |   |   |                          |
| (1) <input type="checkbox"/> Telephonic  |                    | (2) <input checked="" type="checkbox"/> Personal |   | (3) <input type="checkbox"/> Video Conference |                          |
| Exhibit To Be Shown or Demonstrated: <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO   |                    |  |   |   |                          |
| If yes, provide brief description: _____   |                    |  |   |   |                          |
| Issues To Be Discussed   |                    |  |   |   |                          |
| Issues<br>(Rej., Obj., etc)  | Claims/<br>Fig. #s | Prior<br>Art                                     | Discussed                                   | Agreed  | Not Agreed               |
| (1) <u>Rej.</u>  | <u>1, 2, 4-6</u>   | <u>Yamachi et al.<br/>(U.S. 6,351,061)</u>       | <input type="checkbox"/>                    | <input type="checkbox"/>                      | <input type="checkbox"/> |
| (2) _____  | _____              | _____  | <input type="checkbox"/>                    | <input type="checkbox"/>                      | <input type="checkbox"/> |
| (3) _____  | _____              | _____  | <input type="checkbox"/>                    | <input type="checkbox"/>                      | <input type="checkbox"/> |
| (4) _____  | _____              | _____  | <input type="checkbox"/>                    | <input type="checkbox"/>                      | <input type="checkbox"/> |
| <input type="checkbox"/> Continuation Sheet Attached   |                    |  |   |   |                          |
| Brief Description of Arguments to be Presented:  |                    |  |   |   |                          |
| <u>Response to statements in paper No. 022004, Continuation sheet;</u>   |                    |  |   |   |                          |
| <u>Surface roughness definition in Yamachi '061; Criticality of the range</u>  |                    |  |   |   |                          |
| <u>of surface roughness; process steps; Clarification of the rejection under 37CFR 1.104;</u>  |                    |  |   |   |                          |
| An interview was conducted on the above-identified application on _____  |                    |  |   |   |                          |
| <b>NOTE:</b>   |                    |  |   |   |                          |
| This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).  |                    |  |   |   |                          |
| This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible. |                    |  |   |   |                          |
| _____<br>(Applicant/Applicant's Representative Signature)  |                    |  | _____<br>(Examiner/SPE Signature)           |   |                          |

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.